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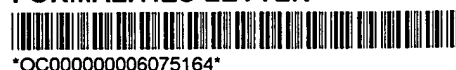
## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/809,393         | 03/15/2001          | James F. Brennan III  | 56506USA6A.002         |

CONFIRMATION NO. 3589

## FORMALITIES LETTER



\*OC000000006075164\*

Attention: Nestor F. Ho  
Office of Intellectual Property Counsel  
3M Innovative Properties Company  
P.O. Box 33427  
St. Paul, MN 55133-3427

Date Mailed: 05/15/2001

## NOTICE TO FILE CORRECTED APPLICATION PAPERS

*Filing Date Granted*

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

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*A copy of this notice **MUST** be returned with the reply.*

H.T.  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



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03 co

Patent  
Docket No: 56506USA6A.002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

James F. Brennan, III, et al.

Serial No.: 09/809,393

Filed: March 15, 2001

For: WIDE-BANDWIDTH CHIRPED FIBER  
BRAGG GRATINGS WITH LOW DELAY  
RIPPLE AMPLITUDE

Group Art Unit: Unknown

Examiner: Unknown

Confirmation No.: 3589

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on:

July 13, 2001

Date

Signature

Sondra Barnett

RESPONSE TO NOTICE TO FILE CORRECTED

APPLICATION PAPERS

Commissioner for Patents  
Washington, DC 20231


Dear Sir:

The Applicants are in receipt of the Formalities Letter, Confirmation No. 3589, dated 05/15/2001 in which the Commissioner has requested that formal drawings be submitted to avoid abandonment of the above listed patent application.

Enclosed are 14 formal drawings to complete the filing of this application. You will note that the name of the inventors, the docket number, the PTO Serial Number, and the title are listed, along with the attorney's name and telephone number, on the front of the drawing.

Respectfully submitted,

|                               |                                  |
|-------------------------------|----------------------------------|
| Registration Number<br>39,460 | Telephone Number<br>512-984-7443 |
| Date<br>July 13, 2001         |                                  |

|                                                                                       |
|---------------------------------------------------------------------------------------|
| By  |
| Nestor F. Ho                                                                          |

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